

# Retail Client's statement of claim

## Alpari (UK) Limited – in special administration

Date of special administration 19 January 2015

### Client's details:

Name:

Client account number(s):

Address:

Email address:

### Gross amount due to client at date of special administration order:

*Please note that we intend to launch a "Claims Portal" on or before 6 March 2015. The Claims Portal will initially be available to only those Clients who did not hold any open positions over the 15th and 16th of January 2015, which the Joint Special Administrators believe is the majority of Clients. The Claims Portal will allow those Clients to agree the basis of how the Joint Special Administrators will calculate their claim in the special administration (for both dividend and voting purposes).*

*Accordingly, if you:*

*(1) did not hold open positions over the 15 and 16 January 2015, you may wish to refrain from submitting a Statement of Claim by email immediately and wait to use the Claims Portal instead. Submitting your claim once through the Claims Portal will be more efficient and ensure your claim is simultaneously recorded for voting and dividend purposes. Please note, if you agree your claim in the Claims Portal this will supersede any Statement of Claim you may have previously submitted; or*

*(2) did hold open positions over the 15th and 16th of January 2015, you will not be eligible to use the Claims Portal at this time. Please submit your Statement of Claim as soon as is convenient, and in any case before 12 noon, 11 March 2015, if you wish to vote at the Creditor and Client meeting.*

Account number	Original currency of the claim	Value of claim:	
		(a) in the original currency	(b) in US Dollars (USD), \$

**Amount of claim for voting purposes (US Dollars)**

**\$**

Signature: .....

Name in CAPITAL LETTERS: .....

Date: .....

Address of signatory (if not client): .....

Authority of signatory (if not client) .....

**NOTES:**

1. You are a Client of Alpari (UK) Limited if you are a person for whom Alpari (UK) Limited has undertaken to receive or hold client assets, including client money, whether or not on trust and whether or not that undertaking has been complied with pursuant to the definition of “client” set out in Regulation 2 (Interpretation) of The Investment Bank Special Administration Regulations 2011.
2. You may be a potential client money claimant if Alpari (UK) Limited holds, or (possibly) should hold, client money on your behalf in accordance with the rules made by virtue of sections 138 and 139 of the Financial Services and Markets Act 2000 (clients’ money).
3. **There is no requirement to complete this form if you have already agreed your claim on the Claims Portal.**
4. Supporting documents must be attached unless copies have previously been forwarded to the Joint Special Administrators.
5. Claims should be submitted in their original currency and converted into US dollars. Where you are claiming for client money in currencies other than US dollars, please convert that amount into US dollars using the rates set out below, being the spot rate prevailing at the time of the appointment of the Joint Special Administrators, which is 2.45 pm, GMT, on 19 January 2015.

Currency	Foreign Exchange rate at 19 January 2015	Currency Code
Australian Dollar	1.2161	AUD
Swiss Franc	0.872	CHF
Euro	0.861	EUR
Sterling	0.66	GBP
Gold	0.7837	GLD
Japanese Yen	117.44	JPY
Singapore Dollar	1.332	SGD
US Dollar	1	USD
South African Rand	11.6301	ZAR

6. Please return your completed claim form before 12 noon on 11 March 2015 by:
- a) Email to: [alpariukclaims@kpmg.co.uk](mailto:alpariukclaims@kpmg.co.uk);
  - b) Post to: Alpari (UK) Limited (in Special Administration),  
KPMG LLP, 8 Salisbury Square, London, EC4Y 8BB

You should retain a copy of the completed claim form for your records.